

**COUNTY OF MOORE
NORTH CAROLINA**

INVITATION FOR FORMAL BIDS

ISSUE DATE: **October 11, 2016**

IFB#: **2017-01**

TITLE: **VEHICLES - TRUCKS**

ISSUING DEPARTMENT:

**County of Moore Financial Services
Attn: Terra Vuncannon
206 S. Ray Street
Po Box 905
Carthage, NC 28327**

Sealed Bids will be received until **4:00 p.m., Tuesday October 25, 2016** from qualified vendors for three (3) Trucks for the County of Moore Public Works Department.

All inquiries for information concerning Instructions to Bidders, Bid Submission Requirements or Procurement Procedures shall be directed to (in writing):

**Terra Vuncannon, Purchasing Manager
P.O. Box 905
206 S. Ray Street
Carthage, NC 28327
(910) 947-7118 (Telephone)
(910) 947-6311 (Fax)
tvuncannon@moorecountync.gov**

Sealed Bids shall be mailed and/or hand delivered to the Issuing Department shown above, and the envelope shall bear the name and number of this Invitation for Bids. It is the sole responsibility of the Bidder to ensure that its bid reaches the Issuing Department by the designated date and hour indicated above.

In compliance with the Invitation for Bids and to all the terms and conditions imposed herein, the undersigned offers and agrees to furnish the services and install the goods described in accordance with the attached signed bid.

Firm Name: _____ Date: _____

Address: _____ Phone: _____

By: _____
(typed)

By: _____
(signed)

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INSTRUCTIONS TO BIDDERS

1. **Sealed Bids shall be submitted to the Issuing Department- Attn: Terra Vuncannon and include the enclosed Bid Form.** In order for a bid to be considered, it shall be based on the terms, conditions and specifications contained herein and shall be a complete response to this Formal Bid. The County of Moore (County) reserves the right to make an award in whole, or in part, and to reject any and/or all bids, and to waive any informality in bids unless otherwise specified by the Bidder. The County reserves the right to award to one or more vendors. Nothing in this Bid Document is intended to be or will be construed an exclusive agreement between the County and the Bidder. Both parties remain free to enter into similar agreements with third parties. The Bidder shall sign the bid correctly and bids may be rejected if they show omissions, alterations of form, additions not called for, conditional proposals or any irregularities of any kind.
2. All labor costs, direct and indirect, shall have been determined and included in the proposal. The cost and availability of all equipment, materials, and supplies associated with performing the services described herein shall have been determined and included in the proposal. Do not include sales tax in bid figures. The County pays sales tax and will add this to your proposal figures separately when invoices are paid. All price quotes shall include delivery to the delivery point, installation and set-up charges, as necessary. Goods shall be set in place ready for owner's use. All goods shall be new and of industry standard quality. No remanufactured, refurbished or used goods will be accepted.
3. After the Bid issue date, all communications between the Issuing Department and prospective Bidders shall be in writing. No oral questions shall be accepted. Any inquiries, requests for interpretation, technical questions, clarifications, or additional information shall be directed to Terra Vuncannon at the address listed on page one if this solicitation or via e-mail to tvuncannon@moorecountync.gov. All questions concerning this Formal Bid shall reference the section and page number. Questions and responses affecting the scope of the goods will be provided to all prospective Bidders by issuance of an Addendum. **All written questions shall be received by the Issuing Department no later than 10:00 am Wednesday October 19, 2016. NO EXCEPTIONS.** This includes any request for substitution or "or equal" items. **All addenda pertaining to this Bid will be posted on the County website at www.moorecountync.gov within 24 – 48 business hours after the deadline for questions. It is the bidder's responsibility to check the website for the addenda.**
4. The County will not be responsible for any oral instructions. Should a Bidder find discrepancies in, or omissions from the documents, or should be in doubt as to their meaning, s/he should at once notify the Issuing Department in writing, and a written addendum shall be issued. Acknowledgement of any Addendum received during the time of the bid shall be noted on the Bid Form in the spaces provided. In closing of a contract, any Addendum issued shall become a part thereof. **It is the Bidder's responsibility to assure that all addenda have been reviewed and, if need be, signed and returned with the bid.**
5. Bids will be examined promptly after opening and award will be made at the earliest possible date. The prices quoted shall be held firm, and no bids may be withdrawn until **90 days** after proposal opening date. The County reserves the right to conduct any test/inspection it may deem advisable to ensure services/materials/supplies/equipment, as appropriate, conform to specifications.

6. Pursuant to North Carolina General Statutes Section 143-129, "award shall be made to the lowest responsible, responsive bid or bidders, taking into consideration quality, performance and the time specified in the proposals for the performance of the contract."
7. The materials/supplies/equipment furnished under any resulting contract shall be covered by the manufacturer's most favorable commercial warranty. Each Bidder shall plainly set forth the warranty for the goods in the proposal. Operations and maintenance manuals for equipment shall also be provided, as specific and appropriate.
8. All purchases for goods or services are subject to the availability of funds for this particular purpose.
9. The bidder shall not represent itself to be an agent of the County.
10. The General Statutes of the State of North Carolina, insofar as they apply to purchasing and competitive bidding, are made a part hereof.
11. The County of Moore is committed to creating and maintaining an environment free from harassment and other forms of misconduct that fundamentally compromise the working environment of the County. All contractors performing work/services at a County facility shall take all necessary steps to assure that none of its employees engage in harassment or intimidation relating to personal beliefs or characteristics of anyone on the County's premises, including but not limited to, race, religion, age, color, sex, national origin or disability. Such harassment is unacceptable and will not be condoned in any form at the County of Moore. If such conduct occurs, the contractor will take all necessary steps to stop it and prevent its future occurrence. This policy shall be strictly enforced.
12. For all the work being performed under this Contract, the County of Moore has the right to inspect, examine, and make copies of any and all books, accounts, records and other writing relating to the performance of the work. Audits shall take place at times and locations mutually agreed upon by both parties, although the Bidder/contractor must make the materials to be audited available within one (1) week of the request for them.
13. All Bidders must complete and submit the Vendor Application Form with their proposal package. This information will be used to create or update the County's Vendor file.
14. Bidders are cautioned that this is a formal bid, not a request for contract, and the County of Moore reserves the right to reject any and/or all bids. It further reserves the right to waive informalities insofar as it is authorized so to do where it deems it advisable in protection of the best interests of the County.
15. Bids will be tabulated, evaluated and a recommendation presented to the County Manager and/or County of Moore Board of Commissioners for their approval.
16. Any and all exceptions to the Specifications must be stated in writing, giving complete details of what is to be furnished in lieu of requested Specifications.
17. The County of Moore reserves the right to cancel and terminate any resulting contract, in whole or in part, without penalty, upon forty-five (45) days' notice to the Bidder(s). Any contract cancellation shall not relieve the Bidder(s) of the obligation to deliver any outstanding

services issued prior to the effective date of the cancellation.

18. **Bids in one (1) original and two (2) copies will be received from each bidder in a sealed envelope or package.** Each original shall be signed and dated by an official authorized to bind the form. Unsigned bids will not be considered.
19. Upon receipt by Moore County Financial Services, your Bid is considered a public record except for material which qualifies as “trade secret” information under N.C. Gen. Stat. 66-152 et. seq. After the Bid opening, your Bid may be reviewed by the County’s evaluation committee, as well as other County staff and members of the general public who submit public records requests. To properly designate material as trade secret under these circumstances, each Bidder must take the following precautions: (a) any trade secrets submitted by a Bidder must be submitted in a separate, sealed envelope marked “Trade Secret — Confidential and Proprietary Information — Do Not Disclose Except for the Purpose of Evaluating this Proposal,” and (b) the same trade secret/confidentiality designation must be stamped on each page of the trade secret materials contained in the envelope.

In submitting a Bid, each Bidder agrees that the County may reveal any trade secret materials contained in such response to all County staff and County officials involved in the selection process, and to any outside consultant or other third parties who serve on the evaluation committee or who are hired by the County to assist in the selection process. Furthermore, each Bidder agrees to indemnify and hold harmless the County and each of its officers, employees, and agents from all costs, damages, and expenses incurred in connection with refusing to disclose any material which the Bidder has designated as a trade secret. Any Bidder that designates its entire Proposal as a trade secret may be disqualified.

21. Bidder shall comply with the North Carolina Workers’ Compensation Act and shall provide for the payment of workers’ compensation to its employees in the manner and to the extent required by such Act. In addition the Provider shall maintain, at its expense, the following minimum insurance coverage:

General Liability - \$2,000,000
Auto Liability - \$2,000,000
Umbrella Coverage - \$5,000,000

20. **The following must be included in the Bid Packet to be considered a responsive bid:**
 - Signed Bid Form**
 - Notarized Non-Collusion Affidavit**
 - Notarized E-verify Affidavit**
 - Iran Divestment Act Certification**
 - Vendor Form (including three references)**
 - W-9 Form**

SPECIFICATIONS

The County is seeking formal bids on three vehicles for the Public Works Department. **In addition to the below specifications a delivery schedule of 150 days is required. A penalty of \$100.00 per day will be issued for each vehicle not delivered by the 150 day delivery date. The contract execution date will begin the 150 day timeline. The County reserves the right to award to more than one vendor.**

1. Vehicle One:

The Following Shall Define the Vehicle plus Aux Equipment:

- Prices Shall Include Turn Key Installation
- 2016 or Newer
- Suspension- 550 or 5500 class
 - Four Wheel Drive(4x4)
 - Super cab Chassis 186" wheel base
 - 18,000lb GVWR (minimum)
 - High Capacity Trailer Tow Package
 - Extra Heavy Duty Suspension
- Engine- Turbo Diesel
 - Auto Trans (with transmission PTO provision)
 - 4.30 rear axle
- Exterior- White
 - Traction Tires
 - Spare Tire, Jack, Wheel (Spare shall be mounted in bed vertically)
 - High Capacity Fuel Tank(s)
 - Reverse Alarm
 - Amber Strobes 4-7/8" Rect 6 Led surface mount
 - (Two shall be mounted on front and rear of the truck, ON/OFF Switch in cab)
 - Roof Mount Led strobe mini light bar (Magnetic Mount)
 - Go Light LED (White) Model 30004 Spot Light W/ wireless handheld remote (Shall be mounted on roof over driver)
 - Go Light Part- 30200 Wireless dash-mount remote
- Interior- Heavy Duty Vinyl 40/20/40 seat (Gray if possible)
 - Power Windows & Locks
 - Electronic shift on fly to engage 4x4
 - Integrated Brake Controller
 - Cruise Control
 - AM/FM/CD/CLOCK Radio with Bluetooth hands free calling capabilities
 - Steering Wheel Controls
 - Power Inverter 12VDC/400Watt Pure Sine Wave (In Cab)
- Service Body-6132D-54J service body with flip top curbside only 132" Painted White
 - Including- 22E-trac installed half way up cargo walls
 - 4 D ring tie downs flush mount one in each corner of bed body
 - tread plate reinforcement
 - all DOT lighting
 - Class III receiver hitch with 7 pole plastic trailer plug
 - Spray in bed liner

- Municipal Vice Bracket c/s Bumper with a Wilton 10200 Model C-0 Vise Mounted
- 14.5 foot ladder rack over cab in Black with 1000lb capacity
- Service body window screen black for use with ladder rack (Cab Guard)
- Heavy Duty Cone Holder to be fabricated on front of truck
- Power Inverter 12VDC/ 800Watt Pure Sine Wave -5% THD 88%EFFI
(Shall be mounted in passenger side front bin top shelf of body)
- Whelen Pioneer Plus Max Combination Flood and 8 degrees Spotlight 12VDC
(Shall be Powered from truck)
- UL Listed with Pole/Pedestal Mount Adapter and On/Off Switch with internal sensor
- Whelen 57in Silver with 4C Internal Input Wire for use with
Pioneer Plus Spot/Flood lights (Shall be mounted on passenger side front of utility body)
- Vanair Reliant RC40 Hydraulic Driven Reciprocating Air Compressor
Part Number-VAN 011518R3K
Air Tank Kit 2-14gal tanks hyd. shift reg.cab 120CA W/Gen (VAN 032707)
Certified Power PTP Driveline Shaft
Spring Driven Air Hose Reel with 100ft of 1/2in air hose (Shall be mounted on top of utility body driver's side)

2. **Vehicle Two:**

The Following Shall Define the Vehicle plus Aux Equipment:

- Prices Shall Include Turn Key Installation
- 2016 or Newer
- Suspension- 250 or 2500 class
 - Four Wheel Drive(4x4)
 - Super cab Chassis 158" wheel base
 - 10,000 lb. GVWR Package (Minimum)
 - Towing Package
- Interior- White
 - 40/20/40 Heavy Duty Vinyl Seats (Gray if possible)
 - Power Windows & Locks
 - Electronic shift on fly to engage 4x4
 - Integrated Brake Controller
 - Cruise Control
 - AM/FM/CD/CLOCK/AUX Input Radio with Bluetooth hands free calling capabilities
 - Steering Wheel Controls
- Engine & Drivetrain -V8 Gas
 - Automatic Transmission
 - Electronic Locking Rear Axle
 - All terrain Tires
 - Spare Tire and Wheel
- Exterior-Telescoping Trailer Tow Mirrors
 - Reverse Alarm
 - Rear Camera

- Spray in Bed Liner
- Amber Strobes 4-7/8" Rect 6 Led surface mount
(Two shall be mounted on front and rear of the truck, ON/OFF Switch in cab)
- Roof Mount Led strobe mini light bar (Magnetic Mount)
- Go Light LED (White) Model 30004 Spot Light W/ wireless handheld remote
(Shall be mounted on roof over driver)
- Go Light Part- 30200 Wireless dash-mount remote
- Cab guard to protect glass from objects in pickup bed
- Weatherguard cross tool box single lid aluminum
- Weatherguard 55.25inch aluminum side tool boxes (Qty. 2)

3. **Vehicle Three:**

The Following Shall Define the Vehicle plus Aux Equipment:

- Prices Shall Include Turn Key Installation
- 2016 or Newer
- White in Color
- Compact Pickup Truck
- Extended Cab, Long Bed, 4x4
- Backup Camera, AM/FM/CD/Bluetooth
- Towing Package
- Amber Strobes 4-7/8" Rect 6 Led surface mount
(Two shall be mounted on front and rear of the truck, ON/OFF Switch in cab)
- Roof Mount Led strobe mini light bar (Magnetic Mount)
- Go Light LED (White) Model 30004 Spot Light W/ wireless handheld remote
(Shall be mounted on roof over driver)
- Go Light Part- 30200 Wireless dash-mount remote
- Weatherguard cross tool box single lid aluminum
- Weatherguard aluminum side tool boxes (Qty. 2)

BID FORM

Sealed Bids will be opened at **4:00 p.m., Tuesday October 25, 2016** in Financial Services, County of Moore, 206 S. Ray Street, Carthage, NC 28327.

Use this form only for submitting bids. In submitting your bid, keep in mind that any alterations, changes in bid format, etc. will make it difficult to evaluate bids. All items should be in the units, quantities, units of measurement, etc. specified. Do not submit alternates unless requested. The County of Moore shall reserve the right to reject any and/or all bids. The County of Moore reserves the right to award to more than one vendor.

VEHICLE	COST PER VEHICLE (150 day delivery from issuance of purchase order)
Vehicle One (550 or 5500 class 4x4)	
Vehicle Two (250 or 2500 class 4x4)	
Vehicle Three (compact pickup truck 4x4)	

I certify that the contents of this bid are known to no one outside the undersigned, and to the best of my knowledge all requirements have been complied with.

Date _____ Authorized Signature _____

Receipt of the following addendum (if applicable) is acknowledged:

Addendum No. _____ Date: _____

Addendum No. _____ Date: _____

NON-COLLUSION AFFIDAVIT

State of North Carolina
County of Moore

I _____, being first duly sworn, deposes and says that:

He/She is the _____ of _____, the
Bidder that has submitted the attached bid;

He/She is fully informed respecting the preparation and contents of the attached bid and
of all pertinent circumstances respecting such bid;

Neither the said Bidder nor any of its officers, partners, owners' agents, representatives,
employees or parties of interest, including this affiant, has in any way colluded, conspired,
connived or agreed, directly or indirectly, with any other Bidder, firm or person to submit a
collusive or sham bid in connection with the contract for which the attached bid has been
submitted or to refrain from bidding in connection with such contract, or has in any manner,
directly or indirectly, sought by agreement or collusion or communication or conference with
any other Bidder or to fix overhead, profit or cost element of the bid price of any other Bidder
or to secure through collusion, conspiracy, connivance or unlawful agreement any advantage
against the County of Moore or any person interested in the proposed contract; and,

The price or prices quoted in the attached bid are fair and proper and are not tainted by any
collusion, conspiracy, connivance or unlawful agreement on the part of the Bidder or any of its
agents, representatives, owners, employees, or parties in interest, including this affiant.

Title

State of North Carolina
County of _____
Subscribed and sworn before me,
This _____ day of _____, 2016

Notary Public
My commission expires: _____

Moore County E-Verify Affidavit

STATE OF NORTH CAROLINA

AFFIDAVIT

COUNTY OF MOORE

I, _____ (the individual attesting below), being duly authorized by and on behalf of
_____ (the entity bidding on project hereinafter "Employer") after first being duly sworn
hereby swears or affirms as follows:

1. Employer understands that E-Verify is the federal E-Verify program operated by the United States Department of Homeland Security and other federal agencies, or any successor or equivalent program used to verify the work authorization of newly hired employees pursuant to federal law in accordance with NCGS §64-25(5).
2. Employer understands that Employers Must Use E-Verify. Each employer, after hiring an employee to work in the United States, shall verify the work authorization of the employee through E-Verify in accordance with NCGS§64-26(a).
3. Employer is a person, business entity, or other organization that transacts business in this State and that employs 25 or more employees in this State. (mark Yes or No)
 - a. YES _____, or
 - b. NO _____
4. Employer's subcontractors comply with E-Verify, and if Employer is the winning bidder on this project Employer will ensure compliance with E-Verify by any subcontractors subsequently hired by Employer.

Executed, this ____ day of _____, 2016.

Signature of Affiant
Print or Type Name: _____

State of North Carolina
County of _____

Signed and sworn to (or affirmed) before me, this the ____
day of _____, 2016.

My Commission Expires:

Notary Public

(Affix Official/Notarial Seal)

RFP Number (if applicable): _____

Name of Vendor or Bidder: _____

**IRAN DIVESTMENT ACT CERTIFICATION
REQUIRED BY N.C.G.S. 147-86.59**

As of the date listed below, the vendor or bidder listed above is not listed on the Final Divestment List created by the State Treasurer pursuant to N.C.G.S. 147-86.58.

The undersigned hereby certifies that he or she is authorized by the vendor or bidder listed above to make the foregoing statement.

Signature	Date
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Printed Name	Title
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Notes to persons signing this form:

N.C.G.S. 147-86.59(a) requires this certification for bids or contracts with the State of North Carolina, a North Carolina local government, or any other political subdivision of the State of North Carolina. The certification is required at the following times:

- When a bid is submitted
- When a contract is entered into (if the certification was not already made when the vendor made its bid)
- When a contract is renewed or assigned

N.C.G.S. 147-86.59(b) requires that contractors with the State, a North Carolina local government, or any other political subdivision of the State of North Carolina must **not** utilize any subcontractor found on the State Treasurer's Final Divestment List.

The State Treasurer's Final Divestment List can be found on the State Treasurer's website at the address www.nctreasurer.com/iran and will be updated every 180 days.



Vendor Application

County of Moore

Financial Services – Purchasing Division

PO Box 905

Carthage, NC 28327

Phone: (910) 947 - 7118

Fax: (910) 947 - 6311

Please Type or Print Legibly

Federal ID # _____ SS # _____ Vendor # _____

Vendor Name

Date

ORDER ADDRESS		PAY ADDRESS	
Street		Street	
Street		Post Office Box	
City		City	
State	Zip Code	State	Zip Code

CONTACT PERSON	TELEPHONE NUMBER	FAX NUMBER
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YEAR ESTABLISHED	TERMS	DISCOUNT
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CONTRACTOR'S LICENSE # (if applicable)	SIGNATURE
	EMAIL ADDRESS:

This firm certifies that it is a: (if applicable)

☐ Disabled ☐ Minority Business Enterprise ☐ Women Business Enterprise

To qualify for MWBE status, 51% of the company must be owned and controlled by minority groups or women. For the purpose of this definition, minority group members are Black Americans, Hispanic Americans, American Indians and/or American Women. To qualify for Disabled status, 51% of the company must be owned and controlled by disabled persons.

Product(s) and/or Service(s)

Please list the type product(s) and/or Service(s) that your company can provide.

_____	_____	_____
_____	_____	_____

References

Request for Taxpayer Identification Number and Certification

Give Form to the
requester. Do not
send to the IRS.

Print or type See Specific Instructions on page 2.	1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.	
	2 Business name/disregarded entity name, if different from above	
	3 Check appropriate box for federal tax classification; check only one of the following seven boxes: <input type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____ <i>Note.</i> For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner. <input type="checkbox"/> Other (see instructions) ▶ _____	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____ <i>(Applies to accounts maintained outside the U.S.)</i>
	5 Address (number, street, and apt. or suite no.)	Requestor's name and address (optional)
	6 City, state, and ZIP code	
	7 List account number(s) here (optional)	

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

Social security number									
or									
Employer identification number									

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here	Signature of U.S. person ▶ _____	Date ▶ _____
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at www.irs.gov/w9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)

- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding?* on page 2.

By signing the filled-out form, you:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued).
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.